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Docket No. 10914-11

PATENT

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Caroline L. Vigin

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Applicant: Isa Odidi, et al. : Paper No.:

Serial No. : 09/403,437 : Group Art Unit:

Based On: PCT/CA98/00274 : Examiner:

Filing Date: October 21, 1999 :

For: **Controlled Release Formulations Using Intelligent Polymers**

TRANSMITTAL OF VERIFIED STATEMENT
CLAIMING SMALL ENTITY STATUS

Box PCT
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Submitted herewith is a Verified Statement Claiming Small Entity Status - Independent Individual for the the present application. It is therefore requested that this application be afforded small entity status.

Respectfully submitted,

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506346.1

Applicant: ODIDI, Isa et al.

Serial No.

Attorney's Docket No.

Filed:

For: Controlled Release Formulations Using Intelligent Polymers

**VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) and 1.27(b)) - INDEPENDENT INDIVIDUAL**

As a below named inventor, I hereby declare that I qualify as an independent inventor, as defined in 37 CFR 1.9(c), for purposes of paying reduced fees to the United States Patent and Trademark Office under Sections 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office, with regard to the invention described in

- the specification filed herewith, with title as listed above.
- the application identified above.
- the patent identified above.

I have not assigned, granted, conveyed or licensed, and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(s), if that person had made the invention, or to any concern that would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below.

- No such person, concern, or organization exists.
- Each such person, concern or organization is listed below.*

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME _____

ADDRESS _____

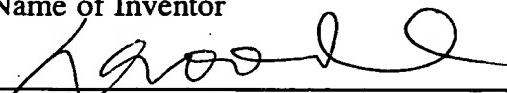
[] INDIVIDUAL [] SMALL BUSINESS CONCERN [] NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.28(b)).

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

ODIDI, Isa

Name of Inventor



Signature of Inventor

Date: 2 nov. 1999

ODIDI, Amina

Name of Inventor



Signature of Inventor

Date: Nov 2, 1999

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